# **R6RS Status Report**

# Kent Dybvig, Will Clinger, Matthew Flatt, Mike Sperber, and Anton van Straaten

June 21, 2006

## 1. Overview

This status report describes the current state of the  $R^6RS$  standardization effort. It covers principles we have outlined to guide the effort, decisions we have made to date, our work in progress, and the process by which we intend to complete the Revised<sup>6</sup> Report on Scheme.

# 2. Change Log

Here is a brief overview of the important changes to this document since the February 2006 version.

Section 4.1 provides some examples of libraries we believe might be required by  $R^6RS$ .

Section 4.2 (new): describes the forms which portable code can take.

Section 4.3: now lists interaction-environment, top-level definitions, and top-level expressions among the eliminated features. It also lists scheme-report-environment, null-environment quotient, remainder, and modulo among those that have been relegated to an R<sup>5</sup>RS compatibility library.

Section 4.4 lists several additional changes. (All but the first four listed are new.)

Section 4.5 lists several added features. (All but the first six listed are new.)

Section 4.6 lists two new features to be added: scripts and a byte-vector datatype. Read/write invariance is now covered in Section 4.4.

Section 4.7 lists several newly reaffirmed features. (All but the first three listed are new.)

Section 4.8 lists several features that are officially not under consideration for  $R^6RS$ . (All but the first four listed are new.)

Section 5 announces that the editors have decided to reconsider whether to make pairs immutable and may even consider whether to require that the second argument of **cons** be a list.

Section 6 (new) describes the editors' commitment to provide reference implementations for the major subsystems included in  $R^6RS$ .

Section 7.2 documents that we have now withdrawn the record SRFI as planned, after receiving valuable community input, and that support for records will be based on this SRFI. It also describes decisions we have made regarding some issues left open by the SRFI.

Section 7.3 documents that we have now withdrawn the Unicode SRFI as planned, after receiving valuable community input, and that support for Unicode will be based on this SRFI.

Section 7.4 documents that the arithmetic SRFI has undergone revisions.

Section 7.5 documents that we have decided to base the  $R^6RS$  exception system on SRFI's 34 and 35.

Section 7.6 documents that we have decided to base the  $R^6RS$  I/O system on SRFI's 79 and 81.

Section 7.8 (new) documents that we have decided to base  $R^6RS$  byte vectors on SRFI 74.

Section 7.9 now lists enumerations and eval among possible features and changes. Some of the previously listed items are no longer under consideration and are now listed as "beyond  $R^6RS$ " in Section 4.8:

• external representation for (possibly cyclic) graph structures

- syntax for the eof-object, if any
- cond-expand
- homogeneous numeric vectors
- support for regular expressions
- formatted output
- adding support for weak pointers
- support for gensyms and uids

One is now mentioned in Section 4.1:

• R<sup>5</sup>RS compatibility library

One is now mentioned in Section 4.7:

• making quotation of empty list optional (reaffirmed that () is not a valid expression)

Some are listed as changes to be made, features added, or features to be added:

- #t, #f, and characters must be followed by a delimiter (Section 4.4)
- case-lambda (Section 4.5)
- bitwise operations on exact integers (Section 7.4)
- adding a void object to replace the "unspecified value" (as "unspecified" rather than "void"; Sections 4.4 and 4.5)
- let-values or other multiple-value binding construct(s) (both let-values and let\*-values; Section 4.5)

Section 8 now lists Sperber and Clinger as the editors in charge of byte vectors.

# 3. Guiding Principles

To help guide the standardization effort, the editors have adopted a set of principles, presented below. They are, like  $R^6RS$  itself, a work in progress and still subject to change.

Like R<sup>5</sup>RS Scheme, R<sup>6</sup>RS Scheme should:

- derive its power from simplicity, a small number of generally useful core syntactic forms and procedures, and no unnecessary restrictions on how they are composed;
- allow programs to define new procedures and new hygienic syntactic forms;
- support the traditional s-expression representation of program source code as data;
- make procedure calls powerful enough to express any form of sequential control, and allow programs to perform non-local control operations without the use of global program transformations;
- allow interesting, purely functional programs to run indefinitely without terminating or running out of memory on finite-memory machines;

- allow educators to use the language to teach programming effectively, at various levels and with a variety of pedagogical approaches; and
- allow researchers to use the language to explore the design, implementation, and semantics of programming languages.

In addition,  $R^6RS$  Scheme should:

- allow programmers to create and distribute substantial programs and libraries, e.g., SRFI implementations, that run without modification in a variety of Scheme implementations;
- support procedural, syntactic, and data abstraction more fully by allowing programs to define hygienebending and hygiene-breaking syntactic abstractions and new unique datatypes along with procedures and hygienic macros in any scope;
- allow programmers to rely on a level of automatic run-time type and bounds checking sufficient to ensure type safety while also providing a standard way to declare whether such checks are desired; and
- allow implementations to generate efficient code, without requiring programmers to use implementationspecific operators or declarations.

In general, R<sup>6</sup>RS should include building blocks that allow a wide variety of libraries to be written, include commonly used user-level features to enhance portability and readability of library and application code, and exclude features that are less commonly used and easily implemented in separate libraries.

 $R^6RS$  Scheme should also be backward compatible with programs written in  $R^5RS$  Scheme to the extent possible without compromising the above principles and future viability of the language. With respect to future viability, we operate under the assumption that many more Scheme programs will be written in the future than exist in the present, so the future programs are those with which we must be most concerned.

# 4. Decisions

This section outlines the decisions made to date.

#### 4.1. Language structure

The  $R^6RS$  language consists of a core language and a set of additional libraries. The exact composition of the core language is expected to fluctuate as other features of R6RS are finalized.

Some examples of the kind of libraries which R6RS might specify are as follows:

- arithmetic-fixnum: Procedures specific to fixnums (see Section 7.4)
- arithmetic-florum: Procedures specific to florums (see Section 7.4)
- records-procedural: The procedural API to the record mechanism (see Section 7.2)
- records-reflection: The reflection procedures for the record mechanism (see Section 7.2)
- hash-tables: Hash tables (see Section 4.6)
- promises: delay and force
- eval: The eval procedure, along with necessary support procedures.
- r5rs: R<sup>5</sup>RS compatibility

#### 4.2. Programs

 $R^6RS$  programs exist only in the form of libraries and scripts. A library consists of a single top-level library form. Libraries may import variable and keyword bindings from other libraries (standard or user-defined) and may export variable and keyword bindings. A script consists of a standard script header and a single top-level library. All definitions and expressions must appear within a library form;  $R^6RS$  has no notion of a top-level definition or expression. The eval procedure will likely, however, allow the evaluation of an expression (but not a definition) within the scope of a specified set of library bindings.

#### 4.3. Features eliminated

The following features of R<sup>5</sup>RS have been eliminated.

- transcript-on and transcript-off
- interaction-environment
- top-level definitions and expressions (see Section 4.2)

The following features of R<sup>5</sup>RS are deprecated but will be available in an R<sup>5</sup>RS compatibility library:

- scheme-report-environment
- null-environment
- quotient, remainder, modulo (see SRFI 77 for replacements)

#### 4.4. Changes

The following syntactic and semantic changes have been made to existing features.

- Syntax is case sensitive.
- Internal defines now follow letrec\* semantics.
- There is now a single unique end-of-file object.
- Continuations created by begin must accept any number of values. (This was optional in R<sup>5</sup>RS.)
- Any character or boolean must be followed by a delimiter.
- The new syntax **#!r6rs** is treated as a declaration that a source library or script contains only r6rscompatible lexical constructs. It is otherwise treated as a comment by the reader.
- An implementation may or may not signal an error when it sees #!*symbol*, for any symbol *symbol* that is not r6rs. Implementations are encouraged to use specific #!-prefixed symbols as flags that subsequent input contains extensions to the standard lexical syntax.
- All other lexical errors must be signaled, effectively ruling out any implementation-dependent extensions unless identified by a #!-prefixed symbol.
- Expressions that would have evaluated to some "unspecified value" in R<sup>5</sup>RS evaluate to a new unique (in the sense of eq?) "unspecified" value.
- Character and string comparison routines are now n-ary. (This was optional in R<sup>5</sup>RS.)

- The *in* and *out* thunks of a dynamic-wind are considered "outside" of the dynamic-wind; that is, escaping from either does not cause the *out* thunk to be invoked, and jumping back in does not cause the *in* thunk to be invoked.
- Most standard procedures are required to raise an exception with a specific condition (in the default "safe" mode) when given invalid inputs, except in certain specific cases where the answer can be determined in spite of the invalid input and the additional work involved may be extraordinary. For example, map must raise an exception if its first argument is not a procedure or if its other arguments are not (proper) lists of the same length. On the other hand, (memq  $x \ ls$ ) must raise an exception if and only if, before it finds a tail of ls whose car is eq? to x, it encounters a non-list tail or cycle in ls.
- When given a value x that can be represented as a datum, write must print x as a datum for which read would produce a value that is equivalent (in the sense of equal?) to x (read/write invariance). When given a value x that cannot be represented as a datum, the behavior of write is unspecified.
- Every symbol, string, and character that can be created via standard operators has at least one standard representation as a datum. In most implementations, this will also be true of numbers.
- The equal? predicate now terminates for all inputs, following the semantics of equiv? in SRFI 85.

#### 4.5. Features added

The following features have been added:

- letrec\* (letrec with left-to-right evaluation order)
- $\bullet$  block comments bracketed by #| and |#|
- expression comments prefixed by **#**;
- matched square brackets ("[" and "]"); equivalent to matched parentheses for list data and list-structured forms
- symbols of the form  $\rightarrow$  subsequent\* are now allowed
- eof-object constructor to obtain the end-of-file object
- unspecified procedure that returns the unspecified value
- let-values and let\*-values multiple-value binding forms
- (define var) syntax: abbreviation for (define var (unspecified))
- when and unless syntax
- case-lambda syntax
- call/cc as a second name for call-with-current-continuation
- new list-processing procedures (mostly inspired by SRFI 1): exists, forall, fold-left, fold-right, filter, partition, iota, find, remq, remv, remove, memp, remp, and assp (the latter three accept a predicate and a list)
- Unicode support

## 4.6. Features to be added

The following features will be added, but the details have yet to be fully worked out.

- top-level libraries
- exception handling
- safe (default) and unsafe modes
- syntax-case macros
- hash tables (as a library)
- byte-vector datatype and operations
- scripts
- fixnum- and flonum-specific arithmetic
- support for infinities and NaNs

### 4.7. Reaffirmations

The following features of  $R^5RS$  are reaffirmed for  $R^6RS$ .

- support for multiple values
- unspecified evaluation order for applications, let bindings, and letrec bindings
- set-car! and set-cdr! (but see Section 5)
- read-char and peek-char return the eof object
- (begin) is still an invalid expression
- case still uses memv
- one-armed if remains in the language
- append copies all but last argument, even if last argument is ()
- () is still an invalid expression
- the contents of (make-string n) and (make-vector n) remain unspecified (in particular, the elements of (make-vector n) are not initialized to the new "unspecified" value

### 4.8. Beyond R<sup>6</sup>RS

The following features are definitely not under consideration for  $R^6RS$ . We encourage anyone interested in seeing any of these features in  $R^7RS$  to make concrete proposals via the SRFI process.

- processes
- network programming
- object-oriented programming
- box datatype

- formatted output
- graph printing (printed representation for shared structure and cycles)
- rec form, (rec id e) => (letrec ([id e]) id)
- vector-length prefix: #n(
- gensyms / uids
- external syntax for the eof object, e.g., **#!eof**
- external syntax for the unspecified value, e.g., #!unspecified
- SRFI 0 cond-expand
- homogeneous numeric vectors
- weak pointers
- support for regular expressions

# 5. Mutability of pairs

Although set-car! and set-cdr! were previously reaffirmed (Section 4.7), the editors have decided to reconsider whether pairs should be immutable in  $R^6RS$ . Members of the Scheme community wishing to weigh in on the issue should contact one of the editors.

Making pairs immutable would simplify argument error checks for some list-processing operations, simplify the list? predicate, allow apply not to copy the input list when invoking a procedure with a dot interface, and allow program improvers to perform deforestation, i.e., to eliminate some of the intermediate lists allocated when nested mapping, reversing, appending and similar operations are used. User-defined record types can be used in place of pairs whenever a mutable data structure is required.

On the other hand, making pairs immutable is an incompatible change that would break some existing programs, and mutable pairs are natural building blocks for various abstractions, like queues and streams.

A more radical change is to require that the second argument to **cons** be a list, i.e., the empty list or a pair. This would make **list**? constant time and further simplify argument error checks for some list-processing operations. Pairs would become useful only as building blocks for lists, and records (or vectors) would have to be used for most other purposes for which pairs are currently used.

# 6. Reference implementations

The editors will publish, along with the revised report proper, nonnormative, portable (with implementationdependent hooks as necessary), and reasonably efficient reference implementations of the major subsystems of  $R^6RS$ , including the library, record, Unicode, arithmetic, exceptions, I/O, and macro subsystems. The editors may publish reference implementations of selected additional features as well.

# 7. Work in Progress

Most of the standardization effort is currently focused on several subsystems. Sections 7.1–7.8 list for each subsystem any informal requirements the editors have identified, the current status, and open questions.

In several cases, a subsystem is up for discussion as a SRFI in order to give the editors a chance to inform the community of the ongoing work and obtain valuable feedback from the community. The final mechanism adopted for  $R^6RS$  may, however, differ in minor or significant ways from the published SRFI.

A list of other items up for consideration is given in Section 7.9. These have not received as much attention to date, usually because they involve less complex or far-reaching changes or are considered to be of lower priority.

## 7.1. Libraries

Informal requirements: support distribution of portable libraries, support identification of library location, namespace management, export/import of macros, permit separate but dependent analysis and compilation, support generation of efficient compiled code, ability to define new libraries.

Support for libraries is under community discussion via SRFI 83 (R6RS Library Syntax). Two big issues have arisen: the need to clarify phases, e.g., for compile-time modules that import at compile-time, and how library names are written (coding as strings is controversial). Still up in the air are the extent to which the syntax of import and export forms is tied down, what built-in libraries besides r6rs there might be, and how to support subsetting and supersetting of libraries.

## 7.2. Records

Informal requirements: disjoint types, syntactic interface, mutable fields.

Support for records will be based on SRFI 76 (R6RS Records), which has now been withdrawn as planned after revisions based in part on community input. While the SRFI did not fully specify the generativity of ordinary record definitions, we have decided that they should be "run-time" generative unless declared nongenerative. We have also eliminated the restriction that the parent of a nongenerative record be a nongenerative record, and we decided to keep the "sealed" feature.

Additionally, we have decided to allow an implementation to treat any or all of its built-in types as records, i.e., **record**? may or may not return true for an object of a built-in type.

## 7.3. Unicode

Informal requirements: provision for Unicode characters and character syntax, Unicode strings and string syntax; Unicode character I/O; integer->char and char->integer are inverse operations and support Unicode-specific text encodings; write/read invariance for every datum, including symbols.

Support for Unicode will be based on SRFI 75 (R6RS Unicode data), which has now been withdrawn as planned after revisions based in part on community input. See http://srfi.schemers.org/srfi-75/mail-archive/msg00309.html for a discussion of probable differences between the withdrawn SRFI and  $R^6RS$ .

## 7.4. Arithmetic

Informal requirements: support for IEEE zeros, infinities, and NaNs, clean up behavior of eqv? wrt numbers, fix certain arithmetic operations, transparency.

Changes for  $\mathbb{R}^6\mathbb{R}S$  arithmetic, including support for fixnum-specific, flonum-specific, and bitwise operators and IEEE arithmetic, are under community discussion via SRFI 77 (Preliminary Proposal for R6RS Arithmetic), which has recently been revised based in part on community input.

## 7.5. Exceptions

Informal requirements: clarify the meaning of "is an error," view exception handling as a means of communication between parts of the program.

The editors have decided to adopt SRFI 34 (Exception Handling for Programs) as the basis for the  $R^6RS$  exception-handling system and SRFI 35 (Conditions) as the basis for the  $R^6RS$  condition system.

## 7.6. I/O

Informal requirements: read-byte and write-byte, ports that support binary I/O, byte-vector datatype, block read/write operations.

The editors have decided to adopt SRFI 79 (Primitive I/O) and SRFI 81 (Port I/O) as the basis for the  $R^6RS$  I/O system.

The byte-vector datatype requirement is addressed by the binary block datatype (Section 7.8).

### 7.7. Macros

Informal requirements: specify expansion semantics, specify interaction with modules, allow procedural transformers, hygiene-breaking operations, maintain support for syntax-rules.

The editors have decided to adopt syntax-case as currently implemented in Chez Scheme and MzScheme, with various differences to be worked out by Dybvig and Flatt. Also, the underscore identifier ("\_") will no longer be a pattern variable but instead a special identifier that matches any input, and underscore will be allowed in place of the keyword naming a macro in a syntax-rules pattern.

SRFI 93 (R6RS Syntax-Case Macros) has recently been submitted.

## 7.8. Binary block datatype

The editors have decided to adopt SRFI 74 (Octet-Addressed Binary Blocks) as the basis for byte-vector functionality in  $\mathbb{R}^6\mathbb{R}S$ , with the name bytes replaces the name blob. In contrast with the SRFI, the contents of (make-bytes *n*) is unspecified and an optional *fill* argument has been added, as with make-string and make-vector.

### 7.9. Other possible changes

The following possible features and changes have been discussed without resolution.

- improving the semantics of eqv? and equal?
- support for file operations
- support system operations
- support for enumerations
- changes to eval to reflect the existence of libraries and other  $R^6RS$  changes

# 8. Completion Process

We intend to deliver a draft  $R^6RS$  to the Steering Committee by September 1, 2006. An initial internal (editors only) draft of R6RS has been created and reflects most of the decisions the editors have made to date. This draft will be updated as work wraps up on the major subsystems and other issues.

For each of the subsystems, the core/library split, and the safe/unsafe mode mechanism and semantics, we have assigned a single editor to be responsible for ensuring progress. We have also assigned one or more additional editors to help. These assignments are shown below.

$\mathbf{subsystem}$	primary editor	additional editors
libraries	Flatt	Dybvig
records	Sperber	Dybvig, van Straaten
arithmetic	Clinger	Sperber
Unicode	Flatt	Clinger
macros	Dybvig	Flatt
exceptions	Sperber	Clinger
I/O	Sperber	van Straaten
byte vectors	Sperber	Clinger
core/library split	van Straaten	Dybvig
hash tables	van Straaten	Clinger
safe/unsafe mode	Clinger	Sperber

At this point, our discussions will be limited mostly to the major subsystems and the other possible features and changes described in Section 7.9. New issues may also be considered if this can be done without jeopardizing our goal to submit a draft  $R^6RS$  to the steering committee by the target deadline.

Responsibility for making sure that the editors complete their work and communicate effectively lies with the chair (Dybvig) and responsibility for completing the  $R^6RS$  draft lies with the project editor (Sperber).